

PTO/SB/30 (01-03)

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Request For Continued Examination (RCE) Transmittal

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/587,074
Filing Date	June 2, 2000
First Named Inventor	Wasserbauer
Art Unit	2828
Examiner Name	LEUNG
Attorney Docket Number	P00809-US

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

FAX RECEIVED

1. Submission required under 37 CFR 1.114

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR 1.118 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s) Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Other _____

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2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(f) required)

b. ☐ Other _____

3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. ☒ Deposit Account No. 020900

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other _____

b. ☐ Check in the amount of \$ _____ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Stephen J. Holmes	Registration No. (Attorney/Agent)	34,621
Signature		Date	July 9, 2003

CERTIFICATE OF MAILING OR TRANSMISSION 09/587,074

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Stephen J. Holmes	Date	July 8, 2003
Signature		Date	July 8, 2003

Page 1 of 2

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Serial No. 09/587,074

CERTIFICATE OF FACSIMILE TRANSMISSION	
I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office on the date shown below.	
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(Fax No)	<i>[Signature]</i>
(Signature)	STEPHEN HOLMES
(Printed Name)	7/9/03
Date:	

PATENT
Attorney Docket No.: P00809-US

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IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant : Wasserbauer, J. et al
Serial No. : 09/587,074
Filed : June 2, 2000
Title : SINGLE MODE VERTICAL CAVITY SURFACE EMITTING LASER
Examiner : LEUNG, Q. Art Unit: 2828

Assistant Commissioner for Patents
Washington DC 20231

AMENDMENT FOR RCE

Sir:

Responsive to the Final Rejection dated April 9, 2003, please amend the above-identified patent application as follows:

In the Specification:

Please enter the following amendments to the specification:

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